



Attorney Dkt. No. 64688/152

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In patent application of:  
et al.

GAU:1614  
Examiner: TBA

Serial No. 09/972,956

Filing date: 10/10/2001

For: GENE TRANSFER INTO RENAL GLOMERULAR CELLS

Submission Under 37 CFR 1.125(b) and (c)

Assistant Commissioner of Patents  
Washington, DC 20231  
Box: Non-fee substitute specification

Sir:

Prior to publication or examination, applicant is submitting a substitute specification.

Pursuant to 37 CFR 1.125(b), applicant states that no new matter has been added by the substitute specification. The purpose of the substitute specification is to amend the examples to provide textual descriptions of the experimental results, rather than employ color photomicrograph prints—as is preferred by the Office. Also pursuant to 37 CFR 1.125(b), a marked up version of the substitute specification (excluding the claims) is enclosed.

Pursuant to 37 CFR 1.125(c), a clean copy of the substitute specification, including the original claims, is enclosed. Note that the paragraphs are numbered as required.

A formal drawing for Figure 1 is enclosed. This obviates the Notice to File Corrected Application Papers, filing date granted, mailed by the Office on 11/28/2002 (copy enclosed).

As the enclosed Preliminary Amendment provides an amended claim 7 which is not a multiple dependent claim, the requirement by the 11/28/2001 Notice to pay a surcharge of \$140 is now moot.

Date: 12/24/01

Respectfully submitted,

Melvin Blecher, PhD  
Attorney-at-Law  
Reg. No. 33,649

Law Offices of Dr. Melvin Blecher  
4329 Van Ness St., NW, Washington, DC 20016-5625



# UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/972,956	10/10/2001	Xuehai Ye	64688/152

CONFIRMATION NO. 6226

## FORMALITIES LETTER



\*OC000000007131047\*

Law Offices of Dr. Melvin Blecher  
4329 Van Ness St., NW  
Washington, DC 20016-5625

Date Mailed: 11/28/2001

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

### *Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
  - more than one figure is present and each figure is not labeled "Fig." with a consecutive Arabic numeral (1, 2, etc.) or an Arabic numeral and capital letter in the English alphabet (A, B, etc.) (see 37 CFR 1.84(u)(1));

The required items noted below SHOULD be filed along with any items required above. The filing date of this nonprovisional application will be the date of receipt of the items required above.

- Total additional claim fee(s) for this application is \$140.
  - \$140 for multiple dependent claim surcharge.

- The balance due by applicant is \$ 140.



RECEIVED  
DEC 26 2001  
UNITED STATES PATENT AND TRADEMARK OFFICE

---

*A copy of this notice MUST be returned with the reply.*

30.6/10/02  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09970456 102601